

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Timothy J. Brosnihan, et al.
Serial No.: 08/874,568
Filed : June 13, 1997
Title : MICROFABRICATED HIGH ASPECT RATIO DEVICE WITH
ELECTRICAL ISOLATION AND INTERCONNECTIONS

Assistant Commissioner for Patents
Washington, DC 20231

DECLARATION BY THE INVENTOR

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are
as stated next to my name.

I believe I am an original, first and joint inventor of
the subject matter which is claimed and for which a patent is
sought on the invention entitled MICROFABRICATED HIGH ASPECT
RATIO DEVICE WITH ELECTRICAL ISOLATION AND INTERCONNECTION, the
specification of which

☐ is filed concurrently herewith.

☒ was filed on June 13, 1997, as Application Serial
No. 08/874,568.

I hereby state that I have reviewed and understand the
contents of the above-identified specification, including the
claims.

I acknowledge the duty to disclose information which is
material to the examination of this application in accordance
with Title 37, Code of Federal Regulations, Section 1.56.

Please direct all correspondence and telephone calls to
David J. Goren, Reg. No. 34,609, Fish & Richardson P.C.,
2200 Sand Hill Road, Suite 100, Menlo Park, California 94025,
(415) 322-5070.

I hereby declare that all statements made herein of my
own knowledge are true and that all statements made on
information and belief are believed to be true; and further that
these statements were made with the knowledge that willful false
statements and the like so made are punishable by fine or
imprisonment, or both, under Section 1001 of Title 18 of the
United States Code and that such willful false statements may
jeopardize the validity of the application or any patent issued
thereon.

Full name of sole or first and joint inventor: Timothy J. Brosnihan

Inventor's signature Timothy J. Brosnihan
Date: 12/10/97
Residence: Berkeley, California
Citizen of: United States
Post Office Address: 497 Cory Hall, University of California at Berkeley, Berkeley, CA 94720

Full name of second and joint inventor: James Bustillo

Inventor's signature James M. Bustillo
Date: 12/12/97
Residence: Castro Valley, California
Citizen of: United States
Post Office Address: 497 Cory Hall, University of California at Berkeley, Berkeley, CA 94720

Full name of third and joint inventor: William A. Clark

Inventor's signature William A. Clark
Date: 12-11-97
Residence: Fremont, California
Citizen of: United States
Post Office Address: 25617 Pond Drive, Fremont, CA 94526

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Applicant or Patentee: Timothy J. Brosnihan, et al.

Serial or Patent No.: 08/874,568

Filed or Issued: June 13, 1997

For: MICROFABRICATED HIGH ASPECT RATIO DEVICE WITH ELECTRICAL ISOLATION AND INTERCONNECTIONS

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of Organization: The Regents of the University of California
Address of Organization: 300 Lakeside Drive, 21st Floor, Oakland, California 94612-3550
Type of Organization:

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3))
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
 (NAME OF STATE: California)
 (CITATION OF STATUTE:)
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3)) IF
 LOCATED IN THE UNITED STATES OF AMERICA
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF
 AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
 (NAME OF STATE: California)
 (CITATION OF STATUTE:)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled MICROFABRICATED HIGH ASPECT RATIO DEVICES WITH ELECTRICAL ISOLATION AND INTERCONNECT by inventor Timothy J. Brosnihan, James Bustillo and William A. Clark described in

- ☐ the specification filed herewith.
☒ application serial no. 08/874,568, filed June 13, 1997.
☐ patent no. _____, issued _____.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Full Name: The Regents of the University of California
Address: 300 Lakeside Drive, 21st Floor, Oakland, California 94612-3550

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☒ NONPROFIT ORGANIZATION


I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name: William A. Hoskins

Title: Director, University of California at Berkeley

Address: Office of Technology Licensing, 2150 Shattuck Avenue, Suite 510
Berkeley, California 94720

Signature:  Date: DEC 29, 1997